

Business Continuity	Assurance	15	Business Continuity	15	15	0	0	0	Fieldwork
Total		315		315	55	35	115	110	
Service specific audits	Type of engagement	Original audit plan	Assignment	Days					
Planning, Transport and Environment	Assurance	190	Partnerships and collaborative governance	20	20	0	0	0	Fieldwork
			Commissioning and Procurement	20	0	0	20	0	
			Budgeting and forecasting	20	0	20	0	0	
			Value for Money	20	0	0	20	0	
			Section 106 agreements	10	0	10	0	0	
			Flood risk management	10	0	10	0	0	
			Follow up audits	10	2.5	2.5	2.5	2.5	
			To be allocated following further discussion with Director	80	10	30	20	20	
			Commissioning and Procurement	0	0	0	0	0	Final report issued
			Effective Decision Making	0	0	0	0	0	Final report issued
Housing and Communities	Assurance	150	Partnerships and collaborative governance	25	25	0	0	0	Planning
			Commissioning and Procurement	20	0	0	20	0	
			Budgeting and forecasting	25	0	25	0	0	
			Value for Money	20	0	0	20	0	
			Lettings policy for high rise accommodation	10	0	0	10	0	
			Independent Living (link with Social Services?)	10	0	10	0	0	
			Regional Partnership Board	15	0	0	15	0	
			Follow up audits	10	2.5	2.5	2.5	2.5	
			To be allocated following further discussion with Director	15	3.75	3.75	3.75	3.75	
			Effective Decision Making	0	0	0	0	0	Final report issued
Economic Development	Assurance	90	Partnerships and collaborative governance	10	10	0	0	0	Fieldwork
			Commissioning and Procurement	10	0	0	10	0	
			Budgeting and forecasting	15	0	15	0	0	
			Value for Money	10	0	0	10	0	
			Park and Ride - Events	10	10	0	0	0	Not yet started
			Follow up audits	5	1.25	1.25	1.25	1.25	
			Income collection / fees and charges	10	0	10	0	0	
			Front of House (Cardiff Castle)	5	0	0	5	0	
			Sports Joint Venture	15	0	0	15	0	

			Commissioning and Procurement	0	0	0	0	0	Final report issued
			FMIT	0	0	0	0	0	Final report issued
			Effective Decision Making	0	0	0	0	0	Draft report issued
			Governance	0	0	0	0	0	Final report issued
Education	Assurance	350	Partnerships and collaborative governance	25	25	0	0	0	Planning
			Commissioning and Procurement	25	0	0	25	0	
			Budgeting and forecasting	25	0	25	0	0	
			Value for Money	25	0	0	0	25	
			ICT Governance	25	25	0	0	0	
			School Admissions	10	0	0	0	10	
			Schools' estate	15	0	0	15	0	
			Commissioning of independent investigations	20	0	20	0	0	
			Use of contractors by schools	20	0	0	0	20	
			Work in individual schools	30	5	5	15	5	
			<i>Willows</i>	5	0	5	0	0	
			<i>Baden Powell</i>	5	5	0	0	0	Fieldwork
			<i>Llandaff CiW</i>	5	5	0	0	0	
			<i>Whitchurch Primary</i>	5	5	0	0	0	
			<i>Whitchurch HS</i>	5	0	0	0	5	
			<i>Pontprennau</i>	5	5	0	0	0	
			Provision of training to schools	45	19	24	0	2	
			Income processes (Education)	15	10	5	0	0	Fieldwork
			Review of income procedures in school kitchens	10	10	0	0	0	
			Follow up audits	10	2.5	2.5	1.5	3.5	Ongoing
			Music Service - follow up	10	0	10	0	0	
			Music Service - expenditure	10	0	10	0	0	
			Music Service	0	0	0	0	0	Final report issued
Governance	0	0	0	0	0	Draft reports issued			
Commissioning and Procurement (Tongwynlais)	0	0	0	0	0	Final report issued			
Governance and Legal Services	Assurance	50	Partnerships and collaborative governance	15	15	0	0	0	Fieldwork
			Commissioning and Procurement	10	0	0	10	0	
			Budgeting and forecasting	15	0	15	0	0	
			Value for Money	10	0	0	0	10	
			Governance	0	0	0	0	0	Final report issued
			Internal and external providers	0	0	0	0	0	
Resources	Assurance	50	Partnerships and collaborative governance	10	10	0	0	0	Not yet started
			Commissioning and Procurement	15	0	0	15	0	

			Budgeting and forecasting	15
			Value for Money	10
			Commissioning and Procurement	0
			Governance	0
Social Services	Assurance	320	Partnerships and collaborative governance	25
			Commissioning and Procurement	25
			Budgeting and forecasting	25
			Value for Money	25
			Regional Social Care Training Unit	15
			Commissioning of independent investigations	20
			Domiciliary care	15
			Follow up audits	10
			Safeguarding arrangements	20
			To be allocated following further discussion with Director (following appointment)	140
			Commissioning and Procurement	0
			Governance	0
			Effective Decision Making	0
			Total	
External	Type of engagement	Original audit plan	Assignment	Days
External clients	Assurance	10	Cardiff Further Education Trust Fund	2
			Joint committees (Glamorgan Archives, Prosiect Gwyrdd and Port Health)	10
			WLGA	5
			Norwegian Church Trust Fund	0
Grants	Assurance	20	Other grants	13
Total		30		30
Contingencies	Type of engagement	Original audit plan	Assignment	Days
General Audit		30	General Audit	18
			National Fraud Initiative	13
Total		30		30
Management	Type of engagement	Original audit plan	Assignment	Days
Support for Audit Committee / liaison with WAO	Consultation	50	Support for Audit Committee / liaison with WAO	50
Assurance mapping	Consultation	10	Assurance mapping	10
CRSA development	Consultation	20	CRSA development	20

0	15	0	0
0	0	0	10
0	0	0	0
0	0	0	0
25	0	0	0
0	0	25	0
0	0	25	0
0	0	0	25
0	0	0	15
0	20	0	0
15	0	0	0
2.5	2.5	2.5	2.5
0	20	0	0
30	40	30	40
0	0	0	0
0	0	0	0
0	0	0	0
299	359	264	278
0	0	0	2
10	0	0	0
5	0	0	0
0	0	0	0
3.75	3.75	3.75	1.75
18.75	3.75	3.75	3.75
0	2.5	7.5	7.5
12.5	0	0	0
12.5	2.5	7.5	7.5
12.5	12.5	12.5	12.5
2.5	2.5	2.5	2.5
5	5	5	5

Final report issued
Final report issued
Fieldwork
Not yet started
Ongoing
Final report issued
Final report issued
Final report issued
Fieldwork
Draft report issued
Final report issued
Ongoing
Continuous and ongoing
Continuous and ongoing

Work requested by Audit Manager	Consultation	20	Work requested by Audit Manager	20
Audit Planning and monitoring	Consultation	20	Audit Planning and monitoring	20
Process Development	Consultation	20	Process Development	20
Review of Financial Rules etc	Consultation	40	Review of Financial Rules etc	40
Consultancy - advice and guidance	Consultation		Consultancy - advice and guidance	
Total		180		180
Total chargeable days		2,100	2,100	

5	5	5	5
5	5	5	5
5	5	5	5
10	10	10	10
45	45	45	45
525	525	525	524

Continuous and ongoing
Continuous and ongoing

525 525 525 525